

### Winter Semester 2020/21

# **Advanced Algorithms**

http://www14.in.tum.de/lehre/2020WS/ada/index.html.en

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### Organization

Lectures: 3 SWS Online, recorded lectures; available via Moodle.

#### Exercises: 2 SWS Online sessions

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Problem sets: Made available on Monday by 10:00 am via Moodle and on the course webpage. Must be turned in one week later by 10:00 am via Moodle. Submissions by teams of two students are encouraged.

### Organization



- **Bonus:** If at least 50% of the maximum number of points of the homework assignments are attained, then the grade of the final exam, if passed, improves by 0.3 (or 0.4).
- Exam: Written exam, on site (Präsenzprüfung), date will be announced.
- **Valuation:** 6 ECTS (3 + 2 SWS)
- **Prerequisites:** Grundlagen: Algorithmen und Datenstrukturen GAD) Diskrete Strukturen (DS) Diskrete Wahrscheinlichkeitstheorie (DWT)





- Th. Cormen, C. Leiserson, R. Rivest, and C. Stein. Introduction to Algorithms, Third Edition, MIT Press, 2009.
- J. Kleinberg and E. Tardos. Algorithm Design. Pearson, Addison Wesley, 2006.
- M. Mitzenmacher and E. Upfal. Probability and Computing: Randomization and Probabilistic Techniques in Algorithms and Data Analysis. Second Edition, Cambridge University Press, 2017.
- Th. Ottmann und P. Widmayer: Algorithmen und Datenstrukturen.
  6. Auflage, Springer Verlag, 2017.
- Research papers



Design and analysis techniques for algorithms

- Divide and conquer
- Greedy approaches
- Dynamic programming
- Randomization
- Amortized analysis

### Content

Problems and application areas:

- Geometric algorithms
- Algebraic algorithms
- Graph algorithms
- Data structures
- Algorithms on strings
- Optimization problems
- Complexity



## 01 - Divide and Conquer

### The divide-and-conquer paradigm

- Quicksort
- Formulation and analysis of the paradigm
- Geometric divide-and-conquer
  - Closest pair problem
  - Line segment intersection
  - Voronoi diagrams

Quicksort: Sorting by partitioning





function Quick (S: sequence): sequence;

```
{returns the sorted sequence S}
```

begin

 $S_{l} \leq v$ 

if  $\#S \le 1$  then Quick:=S; else { choose pivot/splitter element v in S; partition S into  $S_{l}$  with elements  $\le v$ , and  $S_{r}$  with elements  $\ge v$ ; Quick:= Quick(S\_{l}) v Quick(S\_{r}) }

 $S_r \geq v$ 

end;

Divide-and-conquer method for solving a problem instance of size *n*:

#### 1. Divide

n > c: Divide the problem into k subproblems of sizes  $n_1, ..., n_k$  ( $k \ge 2$ ).

 $n \leq c$ : Solve the problem directly.

### 2. Conquer

Solve the *k* subproblems in the same way (recursively).

#### 3. Merge

Combine the partial solutions to generate a solution for the original instance.

### Analysis

T(n): maximum number of steps necessary for solving an instance of size n

$$T(n) = \begin{cases} a & n \le c \\ T(n_1) + \ldots + T(n_k) & n > c \\ + \text{ cost for divide and merge} \end{cases}$$

**Special case:** k = 2,  $n_1 = n_2 = n/2$ cost for divide and merge: DM(n)

$$T(1) = a$$
  
 $T(n) = 2T(n/2) + DM(n)$ 

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#### **Closest Pair Problem:**

Given a set S of *n* points in the plane, find a pair of points with the smallest distance.





- **1. Divide:** Divide S into two equal sized sets  $S_1$  und  $S_r$ .
- **2.** Conquer:  $d_l = \text{mindist}(S_l)$   $d_r = \text{mindist}(S_r)$
- 3. Merge:

$$d_{lr} = \min\{d(p_{l}, p_{r}) \mid p_{l} \in S_{l}, p_{r} \in S_{r}\}$$
  
$$d_{lr} = \min\{d(p_{l}, p_{r}) \mid p_{l} \in S_{l}, p_{r} \in S_{r}\}$$
  
return min{ $d_{l}, d_{r}, d_{lr}$ }





- **1. Divide:** Divide S into two equal sets  $S_1$  und  $S_r$ .
- **2.** Conquer:  $d_l = \text{mindist}(S_l)$   $d_r = \text{mindist}(S_r)$
- **3. Merge:**  $d_{lr} = \min\{ d(p_l, p_r) \mid p_l \in S_l, p_r \in S_r \}$ return  $\min\{d_l, d_r, d_{lr}\}$

Computation of  $d_{lr}$ :







- 1. Consider only points within distance *d* of the bisection line, in the order of increasing y-coordinates.
- 2. For each point *p* consider all points *q* within *y*-distance at most *d*; there are at most 7 such points.

### Merge step





 $d = \min \{ d_i, d_r \}$ 

- Initially sort the points in S in order of increasing x-coordinates O(n log n).
  Each bisection line can be determined in O(1) time.
- Once the subproblems S<sub>l</sub>, S<sub>r</sub> are solved, generate a list of the points in S in order of increasing *y*-coordinates.
  This can be done by merging the sorted lists of points of S<sub>l</sub>, S<sub>r</sub> (merge sort).

Running time (divide-and-conquer)

$$T(n) = \begin{cases} 2T(n/2) + an & n > 3\\ a & n \le 3 \end{cases}$$

- Guess the solution by repeated substitution.
- Verify by induction.

Solution: O(*n* log *n*)

$$T(n) = \begin{cases} 2T(n/2) + an & n > 3\\ a & n \le 3 \end{cases}$$

$$T(n) = 2T(n/2) + an = 2(2T(n/4) + an/2) + an$$
  
= 4T(n/4) + 2an = 4(2T(n/8) + an/4) + 2an  
= 8T(n/8) + 3an = 8(2T(n/16) + an/8) + 3an  
= 16T(n/16) + 4an



$$T(n) \leq an \log n$$
  $T(n) = \begin{cases} 2T(n/2) + an & n > 3\\ a & n \leq 3 \end{cases}$ 

$$n = 2^i$$
$$i = 1: \text{ ok}$$

$$i > 1 \qquad T(2^{i}) = 2T(2^{i-1}) + a2^{i}$$
  
$$\leq 2a2^{i-1}(i-1) + a2^{i}$$
  
$$= a2^{i}(i-1) + a2^{i}$$
  
$$= a2^{i}i$$
  
$$= an\log n$$



Find all pairs of intersecting line segments.







Find all pairs of intersecting line segments.



The representation of the horizontal line segments by their endpoints allows for a vertical partitioning of all objects.





- **Input:** Set S of vertical line segments and endpoints of horizontal line segments.
- **Output:** All intersections of vertical line segments with horizontal line segments, for which at least one endpoint is in S.

### 1. Divide

if |S| > 1
 then using vertical bisection line L, divide S into equal size
 sets S<sub>1</sub> (to the left of L) and S<sub>2</sub> (to the right of L)
 else S contains no intersections



### 1. Divide:



#### 2. Conquer:

ReportCuts( $S_1$ ); ReportCuts( $S_2$ )

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3. Merge: ???

Possible intersections of a horizontal line segment h in  $S_1$ 

**Case 1:** both endpoints in  $S_1$ 





**Case 2:** only one endpoint of h in  $S_1$ 

**2 a)** right endpoint in  $S_1$ 



ReportCuts

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#### 3. Merge:

Return the intersections of vertical line segments in  $S_2$  with horizontal line segments in  $S_1$ , for which the left endpoint is in  $S_1$ and the right endpoint is neither in  $S_1$  nor in  $S_2$ . Proceed analogously for  $S_1$ .





Set S

- *L*(*S*): *y*-coordinates of all segments whose left endpoint in *S*, but right endpoint is not in *S*.
- R(S): y-coordinates of all segments whose right endpoint is in S, but left endpoint is not in S.
- V(S): y-intervals of all vertical line segments in S.

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S contains only one element e.

**Case 1:** e = (x, y) is a left endpoint of horizontal line segment s  $L(S) = \{(y, s)\}$   $R(S) = \emptyset$   $V(S) = \emptyset$ 

**Case 2:** e = (x, y) is a right endpoint of horizongal line segment s  $L(S) = \emptyset$   $R(S) = \{(y, s)\}$   $V(S) = \emptyset$ 

**Case 3:**  $e = (x, y_1, y_2)$  is a vertical line segment s  $L(S) = \emptyset$   $R(S) = \emptyset$   $V(S) = \{([y_1, y_2], s)\}$ 



Assume that  $L(S_i)$ ,  $R(S_i)$ ,  $V(S_i)$  are known for i = 1,2.  $S = S_1 \cup S_2$ 

 $L(S) = L(S_1) \setminus R(S_2) \cup L(S_2)$ 

 $R(S) = R(S_2) \setminus L(S_1) \cup R(S_1)$ 

 $V(S) = V(S_1) \cup V(S_2)$ 

- L, R: ordered by increasing y-coordinates (and segment number) linked lists
- V: ordered by increasing lower endpoints linked list







Initially, the input (vertical line segments, left/right endpoints of horizontal line segments) has to be sorted and stored in an array.

#### **Divide-and-conquer:**

$$T(n) = 2T(n/2) + a \cdot n + \text{size of output}$$
  
$$T(1) = O(1)$$

 $O(n \log n + k)$  k = # intersections

### Computation of a Voronoi diagram

Input: Set of sites

**Output:** Partition of the plane into regions, each consisting of the points closer to one particular site than to any other site.



*P*: Set of sites

 $H(p | p') = \{x | x \text{ is closer to } p \text{ than to } p'\}$ 

Voronoi region of *p*:

$$VR(p) = \bigcap_{p' \in P \setminus \{p\}} H(p \mid p')$$

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**Divide:** Partition the set of sites into two equal sized sets.

**Conquer:** Recursive computation of the two smaller Voronoi diagrams.



**Merge:** Connect the diagrams by adding new edges.

**Output:** The complete Voronoi diagram.

