

## Technique 1: Round the LP solution.

We first solve the LP-relaxation and then we round the fractional values so that we obtain an integral solution.

Set Cover relaxation:

$$\begin{array}{ll} \min & \sum_{i=1}^k w_i x_i \\ \text{s.t.} & \forall u \in U \quad \sum_{i:u \in S_i} x_i \geq 1 \\ & \forall i \in \{1, \dots, k\} \quad x_i \in [0, 1] \end{array}$$

Let  $f_u$  be the number of sets that the element  $u$  is contained in (the frequency of  $u$ ). Let  $f = \max_u \{f_u\}$  be the maximum frequency.

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Set all  $x_i$ -values with  $x_i \geq \frac{1}{f}$  to 1. Set all other  $x_i$ -values to 0.

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*The rounding algorithm gives an  $f$ -approximation.*

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- ▶ The sum contains at most  $f_u \leq f$  elements.
- ▶ Therefore one of the sets that contain  $u$  must have  $x_i \geq 1/f$ .
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### Relaxation for Set Cover

Primal:

$$\begin{array}{ll} \min & \sum_{i \in I} w_i x_i \\ \text{s.t. } \forall u & \sum_{i: u \in S_i} x_i \geq 1 \\ & x_i \geq 0 \end{array}$$

Dual:

$$\begin{array}{ll} \max & \sum_{u \in U} y_u \\ \text{s.t. } \forall i & \sum_{u: u \in S_i} y_u \leq w_i \\ & y_u \geq 0 \end{array}$$

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Let  $I$  denote the index set of sets for which the dual constraint is tight. This means for all  $i \in I$

$$\sum_{u:u \in S_i} y_u = w_i$$

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### Lemma 3

The resulting index set is an  $f$ -approximation.

Proof:

Every  $u \in U$  is covered.

Suppose that  $u$  is not covered. Then  $u$  is not covered by any set in  $I$ . This means that  $u$  is not covered by any set in  $S_i$  for  $i \in I$ . But then  $u$  could be included in the dual solution without violating any constraint. This contradicts the fact that the dual solution is optimal.

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- ▶ Suppose there is a  $u$  that is not covered.
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**Proof:**

$$\begin{aligned}\sum_{i \in I} w_i &= \sum_{i \in I} \sum_{u: u \in S_i} \gamma_u \\ &= \sum_u |\{i \in I : u \in S_i\}| \cdot \gamma_u\end{aligned}$$

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Let  $I$  denote the solution obtained by the first rounding algorithm and  $I'$  be the solution returned by the second algorithm. Then

$$I \subseteq I' .$$

This means  $I'$  is never better than  $I$ .

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- ▶ This means  $x_i \geq \frac{1}{f}$ .
- ▶ Because of **Complementary Slackness Conditions** the corresponding constraint in the dual must be tight.
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## Technique 2: Rounding the Dual Solution.

**Proof:**

$$\begin{aligned} \sum_{i \in I} w_i &= \sum_{i \in I} \sum_{u: u \in S_i} y_u \\ &= \sum_u |\{i \in I : u \in S_i\}| \cdot y_u \\ &\leq \sum_u f_u y_u \\ &\leq f \sum_u y_u \\ &\leq f \text{cost}(x^*) \\ &\leq f \cdot \text{OPT} \end{aligned}$$

## Technique 3: The Primal Dual Method

The previous two rounding algorithms have the disadvantage that it is necessary to solve the LP. The following method also gives an  $f$ -approximation without solving the LP.

For estimating the cost of the solution we only required two properties.

Of course, we also need that  $I$  is a cover.

Let  $I$  denote the solution obtained by the first rounding algorithm and  $I'$  be the solution returned by the second algorithm. Then

$$I \subseteq I' .$$

This means  $I'$  is never better than  $I$ .

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3: while exists  $u \notin \bigcup_{i \in I} S_i$  do
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### Algorithm 1 Greedy

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2:  $\hat{S}_j \leftarrow S_j$  for all  $j$ 
3: while  $I$  not a set cover do
4:    $\ell \leftarrow \arg \min_{j: \hat{S}_j \neq \emptyset} \frac{w_j}{|\hat{S}_j|}$ 
5:    $I \leftarrow I \cup \{\ell\}$ 
6:    $\hat{S}_j \leftarrow \hat{S}_j - S_\ell$  for all  $j$ 
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In every round the Greedy algorithm takes the set that covers remaining elements in the most **cost-effective** way.

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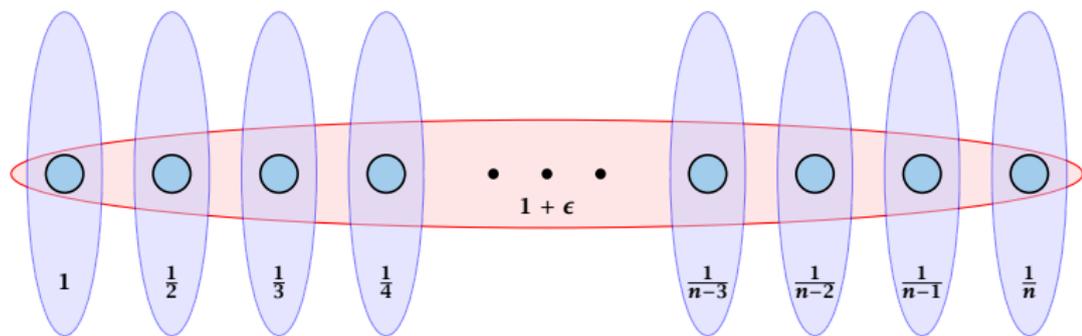
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A tight example:



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One round of randomized rounding:

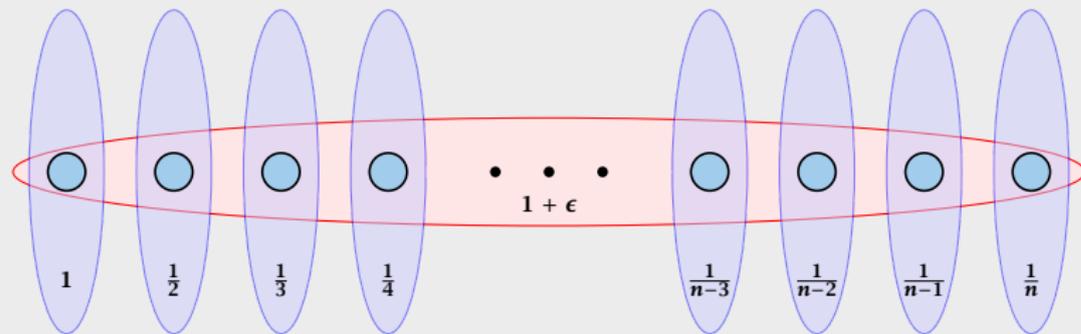
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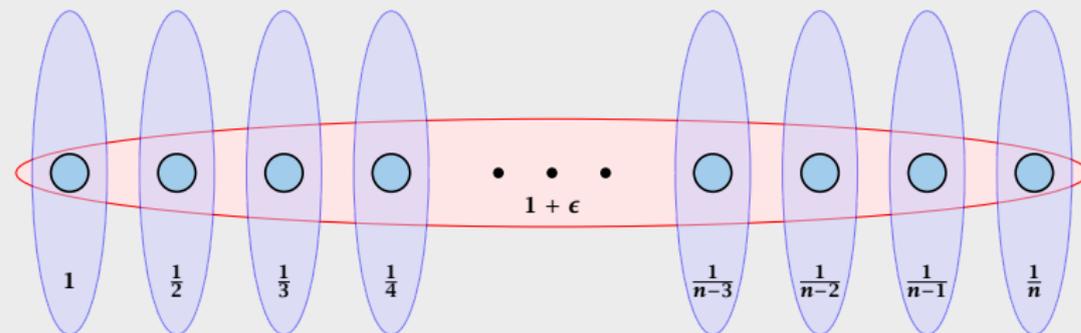
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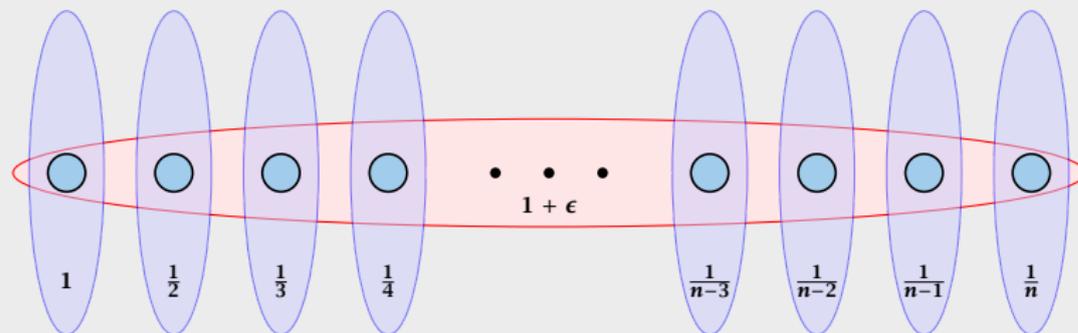
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The **integrality gap** of the SetCover LP is  $\Omega(\log n)$ .

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## Techniques:

- ▶ Deterministic Rounding
- ▶ Rounding of the Dual
- ▶ Primal Dual
- ▶ Greedy
- ▶ Randomized Rounding
- ▶ Local Search
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